

**10/576670**

ATTORNEY DOCKET NO.: 46594-0004-01-US

**AP20 Rec'd PCT/PTO 21 APR 2006**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ACTING AS THE DESIGNATED/ELECTED OFFICE

In re: Patent application of: Jeffrey D. ROTHSTEIN  
Dorothy CHUNG

Application No: Not yet assigned  
(International Application No: PCT/GB2004/035011))

Filed: (International Application: 21 October 2004 (21.10.2004))

For: NEUROPROTECTION WITH BETA-LACTAM COMPOUNDS

MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

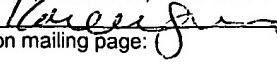
**INFORMATION DISCLOSURE STATEMENT**

Sir:

The present application is a 35 U.S.C. § 371 of PCT/GB2004/035011. Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, submitted herewith in duplicate as a substitute PTO Form 1449 listing the references cited in the Search Report in the international phase. A copy of the International Search Report is enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10  
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I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
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KAREN M. SPINA  
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IAP201 RECEIVED APR 21 APR 2006

The Examiner is respectfully requested to review the items listed on the substitute PTO Form 1449 and make them of record in the instant application as required by M.P.E.P. §609. It is requested that the Examiner initial the enclosed duplicate substitute PTO Form 1449, and return one copy to the undersigned.

This Information Disclosure Statement should not be construed as a representation that the cited references are material or that more relevant prior art does not exist.

This Information Disclosure Statement is being submitted concurrently with the filing of the above-referenced application. Therefore, no certification, petition or fee is required. However, should a fee be due, authorization is hereby provided to charge Deposit Account No. 50-0573.

Respectfully submitted,

JEFFREY D. ROTHSTEIN *et al.*

BY

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SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE U.S. PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 46594-0004-01-US	APPLICATION NUMBER Not yet assigned (I.A. PCT/US2004/035011)
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FIRST NAMED INVENTOR	
		Jeffrey D. ROTHSTEIN et al.	
		FILING DATE (I.A. 21 October 2004)	ART UNIT Not yet assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER	PUBLICATION DATE (MM/DD/YYYY)	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR
		Number-Kind Code (if known)			
A		6,248,360 B1	06/19/2001	CHOI et al.	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No.	FOREIGN PATENT DOCUMENT	PUBLICATION DATE (MM/DD/YYYY)	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR	T
		Country Code-Number-Kind Code (if known)				

**NON PATENT LITERATURE DOCUMENTS**

EXAMINER INITIALS	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Publisher, city and/or country where published	T
EXAMINER SIGNATURE		DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.